

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/801,929

03/09/2001

James Mathews

03797.00092

22907 BANNER & WITCOFF 1001 G STREET N W SUITE 1100 WASHINGTON, DC 20001 CONFIRMATION NO. 3449
FORMALITIES LETTER
OC000000005976654

Date Mailed: 04/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/15/2001 TGEDAMU1 00000034 190733 09801929

B/IJ/EVVI TUEDMINI VVVVVV31

02 FC:103

03 FC:105

710.00 CH 72.00 CH 130.00 CH FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$72.
 - \$72 for 4 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 912.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice <u>MUST</u> be returned with the reply.

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE





PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Charles P. Thacker et al.

Application No. 09/801,929

Filed: March 9, 2001

For: MOUSE INPUT PANEL AND

USER INTERFACE

Group Art Unit: TO BE ASSIGNED

Examiner: TO BE ASSIGNED

Atty Docket: 03797.00092

RESPONSE TO "NOTICE TO FILE MISSING PARTS"

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the NOTICE TO FILE MISSING PARTS OF APPLICATION—FILING DATE GRANTED dated April 17, 2001, Applicants submit herewith the following documents for appropriate action by the U.S. Patent and Trademark Office:

- 1. Fee Transmittal Sheet Form PTO/SB17 (In Duplicate);
- 2. Copy of the Notice to File Missing Parts;
- 3. Executed Declarations for Patent Application (4 sheets);
- 4. Executed Assignments (3 sheets) with cover sheet; and
- 5. Substitute Formal Drawings.

The Assistant Commissioner for Patents is authorized to charge our Deposit Account No. 19-0733 the amount of \$952.00, as follows:

\$710.00 base filing fee;

\$130.00 Surcharge for late filing of executed Declaration;

\$ 72.00 for 4 additional claims over 20; and

\$ 40.00 Recordation of Assignment.

It is not believed that any additional fees for extensions of time or fees for net addition of claims are required. However, in the event that additional fees are necessary, then such fees are authorized to be charged to our Deposit Account No. 19-0733.

Respectfully submitted,

BANNER & WITCOFF, LTD.

Joseph P. Curtin

Registration No. 34,571

Date.

1001 G Street N.W. 11th Floor Washington, D.C. 20001 (202) 508-9100



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Charles P. Thacker et al.

Application No. 09/801,929

Filed: March 9, 2001

MOUSE INPUT PANEL AND

USER INTERFACE

Group Art Unit: TO BE ASSIGNED

Examiner: TO BE ASSIGNED

Atty Docket: 03797.00092

SUBMISSION OF SUBSTITUTE DRAWINGS

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

For:

Applicants submit herewith substitute drawings in accordance with the NOTICE TO FILE MISSING PARTS OF APPLICATION-FILING DATE GRANTED dated April 17, 2001.

No fee is believed to be associated with the filing of this paper. Nonetheless, should the Patent and Trademark Office determine that a fee is required, please charge our Deposit Account No. 19-0733.

Respectfully submitted,

BANNER & WITCOFF, LTD.

1001 G Street N.W.

11th Floor

Washington, D.C. 20001

(202) 508-9100

Joseph P. Curtin

Registration No. 34,571

PTO/SB/17 (11-00)
Approve se through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Onice: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TO ANOMITTAL	Complete if Known					
OF FEE TRANSMITTAL	Application Number	09/801,929				
FEE TRANSMITTAL for FY 2001	Filing Date	March 9, 2001				
1 1 mm = =	First Named Inventor	Charles P. Thacker et al.				
yull Petent fees are subject to annual revision.	Examiner Name	TO BE ASSIGNED				
	Group / Art Unit	TO BE ASSIGNED				
TOTAL AMOUNT OF PAYMENT (\$) 952	Attorney Docket No.	03797.00092				

DEAM MAIOURI OF PATIMENT (\$) 952	Atton	ey Docke	31110.	<u> </u>		
METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)				
1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:		DITIONAL Large Entity		Small Entity		
Deposit	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Account 19-0733 Number		130	205	65	Surcharge - late filing fee or oath	130
Number		50	227	25	Surcharge - late provisional filing fee	
Deposit					or cover sheet.	
Account BANNER & WITCOFF, LTD.		130	139	130	Non-English specification	
Name Charge Any Additional Fee Required	147	2,520	147	2,520	For filing a request for reexamination	
Under 37 CFR 1.16 and 1.17 Applicant claims small entity status.	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
See 37 CFR 1.27	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
2. Payment Enclosed:	115	110	215	55	Extension for reply within first month	
☐ Check ☐ Credit card ☐ Money ☐ Other Order	116	390	216	195	Extension for reply within second month	
FEE CALCULATION	117	890	217	445	Extension for reply within third month	
1. BASIC FILING FEE	118	1,390	218	695	Extension for reply within fourth month	
Large Entity Small Entity	128	1,890	228	945	Extension for reply within fifth month	
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	119	310	219	155	Notice of Appeal	
101 710 201 355 Utility filing fee 710	120	310	220	155	Filing a brief in support of an appeal	
106 320 206 160 Design filing fee	121	270	221	135	Request for oral hearing	
107 490 207 245 Plant filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding	
108 710 208 355 Reissue filing fee	140	110	240	55	Petition to revive – unavoidable	
114 150 214 75 Provisional filling fee	141	1,240	241	620	Petition to revive - unintentional	
SUBTOTAL (1) (\$) 710	142	1,240	242	620	Utility issue fee (or reissue)	
(V) (V)	143	440	243	220	Design issue fee	
2. EXTRA CLAIM FEES	144	600	244	300	Plant issue fee	
Extra Fee from Fee	122	130	122	130	Petitions to the Commissioner	
Total Claims 24 -20** = Claims below Paid Total Claims 18 = 72	123	130	123	130	Petitions related to provisional applications	
Independent Claims 3 -3** = 0 X = 0	126	180	126	180	Submission of Information Disclosure Stmt	
Multiple Dependent X = 0	581	40	581	40	Recording each patent assignment per property (times number of properties)	40
Large Entity Small Entity Fee Fee Fee Fee Description	146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
102 80 202 40 Independent claims in excess of 3	1				•	
104 270 204 135 Multiple dependent claim, if not paid	179	710	279	355	Request for Continued Examination (RCE)	
109 80 209 40 ** Reissue independent claims over original patent	169 900 169 900 Request for expedited examination of a design application					
110 18 210 9 ** Reissue claims in excess of 20 and over original patent						
SUBTOTAL (2) (\$) 72	Other fee (specify)					
L	*Redu	ced by Ba	sic Filin	g Fee Pa	aid SUBTOTAL (3) (\$) 170	
**or number previously paid, if greater; For Reissues, see above	(4) 1/0					

SUBMITTED BY						Complete (if applicable)		
١	Name (Print/Type)	Joseph P. Curtin	Registration No. Attorney/Agent)	34,571	Telephone	(202) 508-9100		
	Signature		Date	6/14/01				

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.